

## The k-Server Problem - Lecture 11

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## The k-Server Problem

The k-Server Problem is a generalization of the paging problem.

A metric space is a couple  $M = (V, d)$ , where  $V$  is a set of items, and  $d$  is a function  $d: V \times V \rightarrow \mathbb{R}^+$  with the following properties:

- Reflexivity:  $D(u, v) = 0$  iff  $u = v$
- Symmetry:  $\forall u, v : d(u, v) = d(v, u)$
- Triangle inequality:  $d(u, v) + d(v, w) \geq d(u, w)$

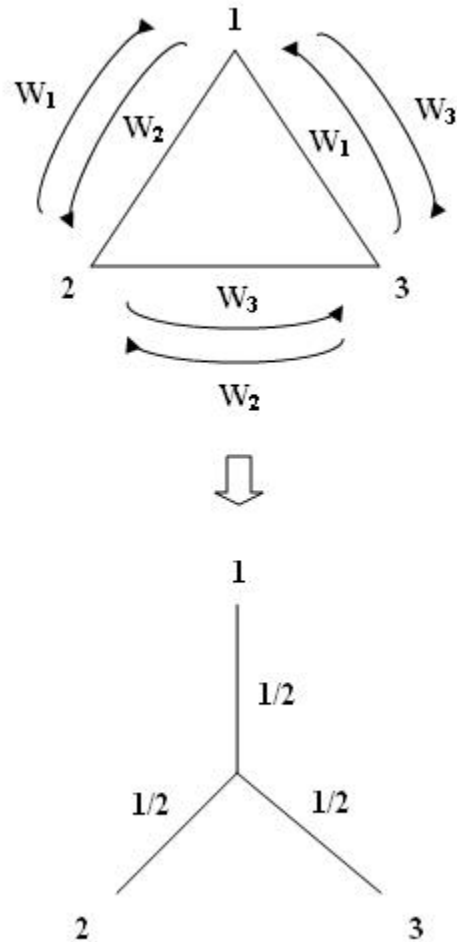
**The k-Server Problem description:** We are given metric space  $M$  over  $n$  items. There are  $k$  servers on items of  $M$ .  $\sigma = \sigma_1\sigma_2\dots$  are series of requests, where each  $\sigma_i$  represents an item in  $M$ . The algorithm is required to move one of the servers to  $\sigma_i$ . The price of one movement equals to the distance between the source and the target items.

The offline version of the problem is polynomial.

Remark: the paging problem is a special case of the k-servers problem, where  $M$  is uniform, where all distances are 1 and each item represents a single memory page. Items with servers represent pages in cache.

There is a possible extension of the paging problem where each page has a different price of transferring to cache. Lets assume that page  $i$  has a price  $w_i$ .

**Example 1:** In the case of triangle we can perform the following transformation where the server always returns to the center:



**Example 2:** Another example is where  $M$  is a line, such as in the case of hard disk heads, with 3 items A, B and C such that  $d(A, B) < d(B, C)$  and two servers on A and C. In this metric, greedy algorithm does not perform well in cases like this:  $\sigma = BABABA\dots$  ( $k$  times  $BA$ ). Greedy will pay  $d(A, B) \cdot |\sigma|$ , while OPT pays only  $d(B, C)$ , because it moves the server from C to B right from the beginning of the series. This is despite the fact that a single moving a server from A to B is less expensive.

**Competitiveness:** There are two definitions of competitiveness:

- Algorithm ON is competitive if there exists constant  $a$  s.t.  $\forall \sigma : ON(\sigma) \leq a \cdot OPT(\sigma) + a \Rightarrow \lim (\sup_{|\sigma| \rightarrow \infty} \frac{ON(\sigma)}{OPT(\sigma)}) \leq a$ .
- Algorithm ON is strongly competitive if  $\forall \sigma : ON(\sigma) \leq \alpha \cdot OPT(\sigma)$ .

**Theorem 1 (Mannase, McGeoch, and Sleator)** *Competitive ratio of deterministic algorithms for the  $k$ -servers problem is at least  $k$ , for any metric space  $M$ .*

**Theorem 2 (Koutsoupias and Papdimitriou)** *For any metric space  $M$ , there exists an  $(2k - 1)$ -competitive algorithm for the  $k$ -servers problem.*

**Theorem 3** *There exists a  $k$ -competitive algorithm for the  $k$ -servers problem in a line metric space.*

## Double Coverage Online Algorithm

Lets mark servers  $S_1, S_2, \dots, S_k$  on a line from left to right. We can always keep this order because every movement of a server can be replaced by a series of movements of adjacent servers.

There are two different cases:

1. The request is located on one side of all servers. Without loss of generality we'll assume that it's on the right of  $S_k$ . In this case we will move  $S_k$ .
2. The request is located on point  $r$  between  $S_i$  and  $S_{i+1}$ . Assume without loss of generality that  $r$  is closer to  $S_i$ . Denote  $d(S_i, r) = \delta$ . We will move  $S_i$  to  $r$  and also will move  $S_{i+1}$  in the direction of  $r$  at the distance of  $\delta$ . Note that this solves the problem introduced in Example 2 above, because, unlike Greedy, this algorithm will gradually move the server located at C to B.

### Analysis of the Algorithm:

Lets define potential function  $\Phi : V^k \times V^k \rightarrow \mathfrak{R}^+$ .

We distinguish between three different cases during the handling of a single request:

1. Time  $t$  - before request  $t + 1$  but after request  $t$ .
2. Time  $t'$  - intermediate step when the adversary already handled the new request, but ON did not yet.

3. Time  $t + 1$  - ON handles the new request.

Lets find out the properties of the potential function  $\Phi$ :

1.  $\forall t : \Phi_{t'} - \Phi_t \leq k \cdot \Delta ADV_t$ , where  $\Delta ADV_t$  is the cost of ADV to serve request  $t$ .
2.  $\forall t : \Phi_{t+1} - \Phi_{t'} \leq -\Delta ON_t$ , where  $\Delta ON_t$  is the cost of ON to serve request  $t$ .

**Lemma 4** *If such  $\Phi$  exists then ON is  $k$ -competitive.*

**Proof:** From both properties follows:  $\forall t : \Phi_{t+1} - \Phi_t \leq k \cdot \Delta ADV_t - \Delta ON_t$ . Assuming that the length of our request series is  $f$ ,  $\sum_{0 \leq t \leq f} (\Phi_{t+1} - \Phi_t) \leq k \cdot \Delta ADV(\sigma) - \Delta ON(\sigma)$ . The sum is telescopic, hence:  $\Phi_0 \leq \Phi_f - \Phi_0$  and  $ON(\sigma) \leq k \cdot ADV(\sigma) + \Phi_0$ , where  $\Phi_0$  is a fixed cost of the initial configuration. ■

Now we will define the desired function  $\Phi$ . It will be a combination of two functions:  $\Phi = k\Psi + \Theta$ , where  $\Psi$  measures the distance between ON and ADV, and  $\Theta$  is a bound for the sum constant. Order both algorithms in two parts - all servers of ON in a line on one side and all servers of ADV in a line on the other side. Derive a fully connected bipartite graph. Find a minimal weight bipartite matching, which in the case of line metric, will connect  $ADV_1$  to  $ON_1$ ,  $ADV_2$  to  $ON_2$  and so on till  $ADV_k$  to  $ON_k$ .

$$\Psi = \sum_{i=1}^k d(S_i, a_i) \text{ and } \Theta = \sum_{1 \leq i < j \leq k} d(S_i, S_j).$$

Lets analyze the properties of this function:

1.  $t \rightarrow t' : \Delta\Phi = \Phi_{t'} - \Phi_t$ . Lets assume that the adversary moves server  $a_l$  to the request at  $r$ , hence the cost is  $\Delta ADV_t = d(a_l, r)$ ,  $\Delta\Theta = 0$ ,  $\Delta\Psi \leq d(a_l, r)$ ,  $\Delta\Phi \leq k \cdot \Delta ADV_t$ .
2.  $t' \rightarrow t + 1 : \Delta\Phi = \Phi_{t+1} - \Phi_{t'}$ .
  - a) The request  $r$  is on once side of all servers, assume that it's on the right of  $S_k$ . Thus  $\Delta\Theta = (k - 1)d(S_k, r)$ ,  $\Delta\Psi = -d(S_k, r)$ ,  $\Delta\Phi = -k\Delta\Psi + \Delta\Theta = -k \cdot d(S_k, r) + (k - 1) \cdot d(S_k, r) = -d(S_k, r) = -\Delta ON_t$ .
  - b) The request  $r$  is between  $S_i$  and  $S_{i+1}$ . Then  $\Delta ON_t = 2d(S_i, r) = 2\delta$  (two times because both  $S_i$  and  $S_{i+1}$  move). Thus  $\Delta\Theta = -2\delta$ ,  $\Delta\Psi \leq -\delta + \delta$  (minus distance between  $S_i$  and  $a_i$ , plus distance between  $S_{i+1}$  and  $a_i$ ) for both  $l \leq i$  and for  $l > i$ . Hence  $\Delta\Psi \leq -2\delta = -\Delta ON_t$ .